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10951 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37
CFR 1.53(b))

Attorney Docket No. N0389/7005 INB

First Named Inventor or Application Identifier

MAGARY, Alex

Express Mail Label No. EL840536672US

Date of Deposit February 16, 2001

11033 U.S. PTO
02/16/01

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
☐ Small Entity Statement(s)
☐ Statement filed in prior application, Status
still proper and desired.
3. ☒ Application - Total pages: 35
12 - pages description
1 - pages abstract
22 - pages claims 118 - Total claims
4. ☒ Drawing(s) (35 USC 113) [Total sheets 5]
☒ Informal ☐ Formal [Total drawings
Figs. 1-5]
5. ☐ Oath or Declaration [Total pages]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d)),
(for continuation/divisional with Box 17 completed)
[Note Box 6 below]
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Incorporation by Reference
(usable if Box 5b is checked)
The entire disclosure of the prior application,
from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being
part of the disclosure of the accompanying
application and is hereby incorporated by
reference therein. Delete? See page 2.

ADDRESS Box Patent Application
TO: Commissioner for Patents
Washington, DC 20231

7. ☐ CD-ROM or CD-R, in duplicate, large
table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper (identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers/cover sheet &
documents(s)
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney
11. ☐ English Translation of Document (if applicable)
12. ☐ Information Disclosure Statement PTO-1449
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. FOREIGN FILING

- ☐ This application has not and will not be the subject of an application filed in another country, or under
multilateral international agreement that requires publication of the application 18 months after filing.

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: , filed on , entitled , and now .

Prior Application Information: Examiner: Group Art Unit:

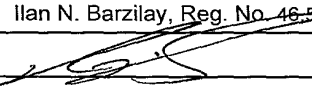
For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER	23628				
ATTORNEY'S NAME	Ilan N. Barzilay, Reg. No. 46,540				
NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Ilan N. Barzilay, Reg. No. 46,540
SIGNATURE	
DATE	Feb. 16 2001

Inventor or Identifier: MAGARY, Alex

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: METHOD AND APPARATUS FOR PROVIDING
FINANCIAL TRANSACTION DATA VIA THE INTERNET

☐ **DUPLICATE**

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	118-20=	98 x	\$18	= \$1,764.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	11-3=	8 x	\$80	= \$ 640.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Total of above Calculations =				\$ 3,114.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1,557.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$
	TOTAL =				\$ 1,557.00

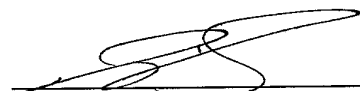
1. A check in the amount of \$1,557.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.

3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.


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 Attorneys of Record

Docket No. N0389/7005 INB
 Date: February 16, 2001